

## Viewing Quotes and Placing Orders (AMEX, NASDAQ, NYSE)

To access the US markets' price quotes, click on 'Market Info' and select "AMEX", "NASDAQ" or "NYSE".

The iOCBC price quotes for NASDAQ is 15 minutes delayed and the price quotes for AMEX and NYSE are 20 minutes delayed. To access the latest price quote, kindly click on the counter name to launch the order ticket.

In this menu, you can view information on the Top 20 Volume / Gainers / Losers / Industry / Sector Indices, World Indices and Market Summary.

Searching for individual counters can be achieved by clicking on the alphabets (A to Z) or enter the counter name to search for the counter.

Click on **Market Info**

Click here and select **AMEX, NASDAQ** or **NYSE**

Enter the counter name here to search for your preferred counter

Speed Trade		Market Info	Trades	Portfolio	Account Mgmt	Share Financing	SBL	MA	IPO	Calculator								
Top 20   Top 20 Warrants   Watchlist   Alert Criteria   Sectorial Indices   World Indices   Market Summary   Tips   News   Report																		
A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 0-9										SGX	Top 20 Volume		Go	Adv Search				
Stock	Code	i	Rmk	Ccy	Last	\$Chng	%Chng	Vol	B	Market	Sell	Sell Vol	Open	Previous	Day Hi	Day Lo	Ind	
Ezra	SDN	i	--	SGD	0.590	▲	-0.005	-0.8	3,479,000	SGX	0.590	167,000	0.595	0.595	0.605	0.580	C,M	
Noble Grp	N21	i	--	SGD	0.735	▲	-0.005	-0.7	3,250,000	Bursa Msia	0.735	140,000	0.755	0.740	0.755	0.720	M	
GoldenAgr	E5H	i	--	SGD	0.175	▲	0.000	0.0	2,945,000	HKEX	0.175	12,270,000	0.175	0.175	0.175	0.170	M	
Centillon	C49	i	--	SGD	0.010	▲	+0.005	+100.0	2,645,000	SET	0.010	263,000	0.010	0.005	0.010	0.010	C,M	
UOB	U11	i	--	SGD	11.600	▲	-0.100	-0.8	2,464,000	IDX	1.600	1,000	11.740	11.700	11.800	11.380	C,M	
Reyoung	R12	i	--	SGD	0.075	▲	0.000	0.0	2,132,000	PHS	0.080	20,000	0.060	0.075	0.075	0.060	M	
CapitaMall	C38U	i	--	SGD	1.500	▲	-0.050	-3.2	1,923,000	NYSE	1.510	10,000	1.490	1.550	1.500	1.470	C,M	
StraitsAsia	AJ1	i	CD	SGD	0.665	▼	-0.010	-1.5	1,814,000	NASDAQ	0.665	98,000	0.675	0.675	0.675	0.655	C,M	
AusGroup	5GJ	i	--	SGD	0.205	▲	-0.010	-4.8	1,740,000	AMEX	0.210	346,000	0.215	0.215	0.215	0.200	C,M	
THBEV	Y92	i	--	SGD	0.195	▼	-0.010	-4.9	1,654,000	AMEX	0.195	562,000	0.200	0.205	0.200	0.195	C,M	
Yangzijiang	B56	i	--	SGD	0.395	▼	-0.005	-1.2	1,544,000	189,000	0.395	400	536,000	0.400	0.400	0.390	C,M	
STI 1700BNPeW090330	G1XW	i	--	SGD	0.370	▲	+0.005	+1.4	1,505,000	750,000	0.370	0.375	750,000	0.365	0.370	0.365	M	
Kepp Corp	BN4	i	--	SGD	4.640	▲	+0.040	+0.9	1,502,000	20,000	4.630	4.640	25,000	4.700	4.600	4.730	4.620	C,M
SingTel	Z74	i	CD	SGD	2.440	▲	-0.010	-0.4	1,508,000	69,000	2.430	2.440	84,000	2.470	2.450	2.470	2.430	C,M
DBS DB eCW090427	G2RW	i	--	SGD	0.215	▼	-0.015	-6.5	1,450,000	1,000,000	0.215	0.220	2,000,000	0.215	0.230	0.215	0.215	
CoscoCorp	F83	i	--	SGD	0.715	▲	-0.015	-2.0	1,527,000	220,000	0.710	0.715	40,000	0.725	0.730	0.725	0.705	C,M
Gen Int	G13	i	--	SGD	0.370	▲	0.000	0.0	1,356,000	354,000	0.365	0.370	947,000	0.370	0.370	0.375	0.360	M
Yanlord	Z25	i	--	SGD	0.570	▲	-0.010	-1.7	1,327,000	68,000	0.570	0.575	15,000	0.590	0.580	0.590	0.555	C,M
Unifiber	P30	i	--	SGD	0.040	▲	0.000	0.0	1,321,000	407,000	0.040	0.045	1,823,000	0.040	0.040	0.040	0.040	C,M
GlobalVoice	H23	i	--	SGD	0.015	▼	-0.005	-25.0	1,190,000	20,145,000	0.010	0.015	19,000	0.015	0.020	0.015	0.015	C,M

You will need to accept the Terms & Conditions for AMEX, NASDAQ and NYSE respectively to view the price quotes.

(a) Terms & Conditions for NASDAQ

To accept the Terms & Conditions, kindly input the relevant information and click on the “Signature Confirmed” button to proceed.

Note:

-To access, you have to be a “non-professional” as defined under Clause 1 in this Agreement.

-The ‘Signature Confirmed’ button is located at the middle portion of the Terms & Conditions. Please scroll down to the Signature Section to locate the button.

Online Market Access Agreement - NASDAQ

**SIGNATURE SECTION: This section incorporates all terms of The Nasdaq Subscriber Agreement by this reference.**

Do you qualify as a **non-professional** as defined in paragraph [1] of the Agreement?  
 Yes  No

**MANDATORY FOR ALL SUBSCRIBERS**

Subscriber Name: OCBC SECURITIES PRIVATE LTD STOCK A/C TESTING 4

Signature: OCBC SECURITIES PRIVATE LTD STOCK A/C TESTING 4  
(Enter Name or Other Symbol of your Signature)

Date: 24/11/2008

If you signed the Agreement, make a copy for your records (electronically or otherwise). If you did not intend to sign, or signed electronically in error, click on "Cancel". To confirm your signature and the accuracy of the information above click on "Signature Confirmed" which will submit the Agreement and legally bind Subscriber to the Agreement.

Signature Confirmed Cancel

**NASDAQ Online Subscriber Agreement**

**THE VENDOR AND ITS AGENTS MAY NOT MODIFY OR WAIVE ANY TERM OF THIS**

(b) Terms & Conditions for AMEX & NYSE

To accept the Terms & Conditions, kindly input the relevant information and tick the check box under the Declaration Section. Please click on the "I Accept the above Terms & Conditions" button to proceed.

Online Market Access Agreement - NYSE

**AGREEMENT FOR RECEIPT AND USE OF MARKET DATA: NYSE**

**AGREEMENT FOR MARKET DATA DISPLAY SERVICES**

OCBC Securities Private Limited ("Vendor") agrees to make "Market Data" available to you pursuant to the terms and conditions set forth in this agreement. By manifesting your assent in the space indicated below, you ("Subscriber") agree to comply with those terms and conditions. Section 1 sets forth terms and conditions of general applicability. Section 2 applies insofar as Subscriber receives and uses Market Data made available pursuant to this Agreement as a Nonprofessional Subscriber.

Subscriber's details:

Name:	OCBC SECURITIES PRIVATE LTD STOCK A/C TESTING 4
Address:	18 CHURCH STREET #06/07 OCBC CENTRE SOUTH
Occupation:	- All Job Categories -

Subscriber shall notify Vendor promptly in writing of any change in his or her circumstances that may cause him or her to cease to qualify as a Nonprofessional Subscriber.

12. CERTIFICATION - By clicking on the following box, Subscriber hereby certifies that he or she falls within Paragraph 9's definition of "Nonprofessional Subscriber" and that the personal and employment information that he or she has included in Paragraph 11 is truthful and accurate.

**DECLARATION**

**NYSE**

I, the "Subscriber" to which the preceding terms and conditions refer, acknowledge that I have read the preceding terms and conditions of this Section 1 & 2, that I understand them and that I hereby manifest my assent to, and my agreement to comply with, those terms and conditions by "clicking" on the following button.

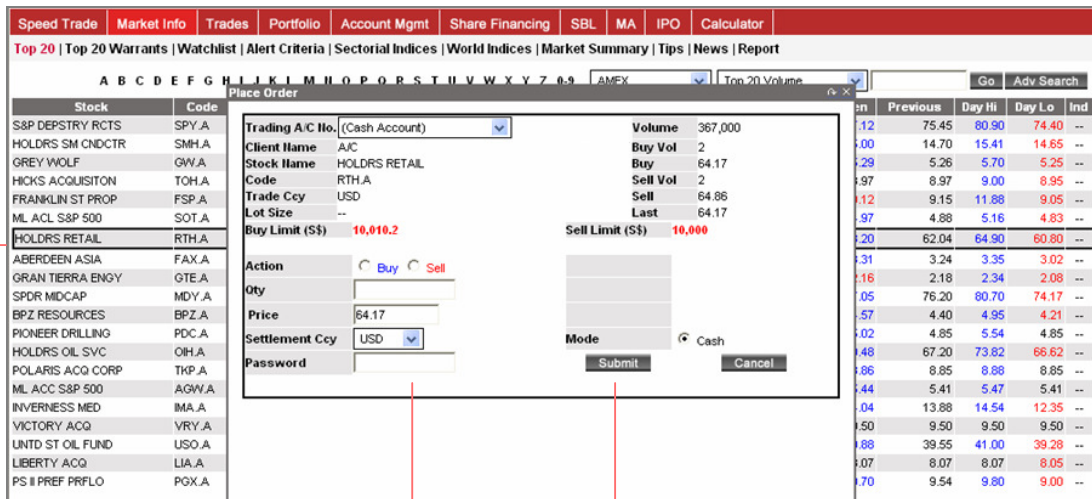
I Accept the above Terms & Conditions

Click on the counter name to place an order, e.g. Holders Retail. Details of the counter will be shown on the order ticket. The price quotes indicated on the order ticket is the latest.

- Click on to the Buy / Sell button to select the action and enter the quantity<sup>1</sup>
- Payment mode is default as 'Cash'
- CPF trade is not allowed for trading in US Markets

**Note:**

<sup>1</sup> Enter "Quantity" in number of shares (e.g. : 1, 10, 100 or 1000)



The screenshot shows the OCBC trading platform interface. At the top, there are navigation tabs: Speed Trade, Market Info, Trades, Portfolio, Account Mgmt, Share Financing, SBL, MA, IPO, and Calculator. Below these are links for Top 20, Warrants, Watchlist, Alert Criteria, Sectorial Indices, World Indices, Market Summary, Tips, News, and Report. A search bar is visible with 'AMEX' selected and 'Top 20 Volume' as a filter. The main area is divided into a 'Place Order' window and a market data table.

The 'Place Order' window is for 'HOLDERS RETAIL' (RTH.A). It shows the following details:

- Trading A/C No.: (Cash Account)
- Client Name: AJC
- Stock Name: HOLDERS RETAIL
- Code: RTH.A
- Trade Ccy: USD
- Lot Size: --
- Buy Limit (\$): 10,010.2
- Sell Limit (\$): 10,000
- Action: Buy (selected) / Sell
- Qty: [Empty field]
- Price: 64.17
- Settlement Ccy: USD
- Mode: Cash (selected)
- Password: [Empty field]
- Buttons: Submit, Cancel

The market data table on the right shows columns for 'sn', 'Previous', 'Day Hi', 'Day Lo', and 'Ind'. The 'HOLDERS RETAIL' row is highlighted in red, showing a price of 64.17.

Click on the counter name to place an order e.g. Holders Retail.

Enter desired order details (Quantity & Price) and enter your password

Click **Submit** to send your order to the exchange

Upon order submission, click on 'Trades' and select 'Today's Orders' to check the status of your trades.

Kindly check the **Action** for your trades

Kindly check the **Status** of your trades.

Speed Trade		Market Info		Trades		Portfolio		Account Mgmt		Share Financing		SBL		MA		IPO		Calculator				
Today's Orders				Past Orders				Outstanding Position				FX Rate										
Sel	Withdraw	Amend	A/C No.	Code	Stock Name	i	Ccy	Settle Ccy	Fx	Action	Order Type	Order Price	Order Qty	Done Qty	Balance	Done Price	Status	Mode	Forced	Amalg.	Order No	Order Date/Tim
			0069367	S.N	SPRINT NEXTEL	i	USD	SGD	--	Buy	--	1.460	1	0	1	0.000	F	Cash	N	Y	--	22-11-2004:47
<input type="checkbox"/>	Withdraw	Amend	0069367	AWC.N	ALUMINA LTD	i	USD	SGD	--	Buy	--	2.840	20	0	20	0.000	Q	Cash	N	Y	--	22-11-2004:23
			0069367	S.N	SPRINT NEXTEL	i	USD	SGD	--	Buy	--	1.480	20	0	20	0.000	F	Cash	N	Y	--	22-11-2004:23
<input type="checkbox"/>	Withdraw	Amend	0069367	S.N	SPRINT NEXTEL	i	USD	SGD	--	Buy	--	1.520	20	0	20	0.000	Q	Cash	N	Y	--	21-11-2011:51
			0069367	AWC.N	ALUMINA LTD	i	USD	SGD	--	Buy	--	2.850	20	0	20	0.000	R	Cash	N	Y	--	21-11-2011:47

Click on **Withdraw** to cancel your order.

Click on **Amend** to amend the order quantity.

You can amend / withdraw your order(s) provided that it is not filled. If the order is partially filled, you can only make amendment / withdrawal on those unfilled quantity.

**Note:**

- 1) Amendment is only for reduction of the quantity and you cannot amend other parameters like price.
- 2) Amended quantity for AMEX & NYSE must be in mixed lot or same as original quantity.

To check the latest status of your order, please click on the "Refresh" button.

- i. If your amendment request is successful, your order status will be updated to "AC" (ie: reduced order accepted). The Order Status Alert window will pop-up on your screen should the amendment request has failed.



- ii. If your withdrawal request is successful, your order status will be updated to "C" (ie: cancelled order accepted)