




14 February 2019

**Private & Confidential**


<Name of customer>  
<Address 1>  
<Address 2>  
<Address 3>

## Your Trading Statement

**OCBC Securities Private Limited** A Member of OCBC Group  
18 Church Street #01-00 OCBC Centre South  
Singapore 049479  
Co. Reg. No. : 196600262R  
GST Reg. No. : M200075927

 **Anthony Tay**  
Your Trading Representative

tay.anthony@ocbcsec.com

 **Customer Service**  
1800 338 8688 (Singapore)  
+65 6338 8688 (Overseas)  
cs@ocbcsec.com

Trading Account  
**1234567**

## What is included in the trading statement?

- Contract Note (US and Non-US)
- Contract Cancellation (US and Non-US)
- Contra Statement
- Contra Reversal Statement

Your Trading Representative.  
Do contact him for trading matters.

When we notify you that  
your e-Statement is ready for  
viewing

## Trades Placed (as at 13 February 2019)

Tax Invoice

Date where you placed your trades

### Singapore Market

Bank Vontobel Ag  
Call 28888 SGD Hang  
Send Index 28Mar19  
(X0QW)

BUY				
Contract Number	87335XXX003	Gross Proceeds	SGD	1,310,642.26
Price	SGD 0.10231	Brokerage	SGD	98.30
Quantity	12,810,500	SGX Clearing Fee	SGD	52.42
Trade Date	13 Feb 2019	SGX Trading Fee	SGD	31.11
Settlement Date	15 Feb 2019	Amount	SGD	1,310,806.09
Settlement Mode	GIRO	Settlement currency		SGD
		Exchange rate		1
<b>Settlement Amount</b>			<b>SGD</b>	<b>1,310,806.09</b>

SELL				
Contract Number	87334XXX003	Gross Proceeds	SGD	1,267,980.79
Price	SGD 0.10217	Brokerage	SGD	(95.10)
Quantity	12,410,500	SGX Clearing Fee	SGD	(50.71)
Trade Date	13 Feb 2019	SGX Trading Fee	SGD	(12.68)
Settlement Date	15 Feb 2019	Amount	SGD	1,267,822.30
Settlement Mode	GIRO	Settlement currency		SGD
		Exchange rate		1
<b>Settlement Amount</b>			<b>SGD</b>	<b>1,267,822.30</b>

## Malaysia Market

**Unisem (M) Bhd**  
(UNSM)

BUY  
Amended  
Contract

Contract Number	8732WWW001	Gross Proceeds	MYR	327,000.00
Price	MYR 3.27000	Brokerage	MYR	1,144.50
Quantity	100,000	GST 7% (SGD 1.64)	MYR	80.12
Trade Date	12 Feb 2019	Foreign Fees Payable	MYR	313.80
Settlement Date	14 Feb 2019	Amount	MYR	328,538.42
		Settlement currency		MYR
		Exchange rate		1
		<b>Settlement Amount</b>	<b>MYR</b>	<b>328,538.42</b>

*Contract was amended from Contract No 8732GGG001.  
Please refer to your trades placed on 12 February 2019.*

Refer here for details of your previous trade before it was amended

## Cancelled Trades (as at 13 February 2019)

Name	Trade Date	Contract Number	Quantity	Price	Settlement Amount
<b>Singapore Market</b>					
Aem Holdings Ord Shs	12 Feb 2019	8734DDD001	500	25.86 SGD	12,957.31 SGD

Date where you cancelled your trades

## Contra Trades (as at 12 February 2019)

Name	Trade Date	Contra Number	Contract Number	Action	Quantity	Price	Settlement Amount	Gain / (Loss)
<b>Singapore Market</b>								
Aem Holdings Ord Shs	12 Feb 2019		87304XXX001	BUY	12,000	1.05 SGD	1,052.16 SGD	
	13 Feb 2019	730ZZZ1	87306XXX005	SELL	1,000	1.045 SGD	1,019.11 SGD	(33.05) SGD

Date where you did a contra position

## Reversed Contra Trades (as at 12 February 2019)

Name	Contra Date	Contra Number	Gain / (Loss)
<b>Singapore Market</b>			
Aem Holdings Ord Shs	12 Feb 2019	8734DDD001	12,957.31 SGD

Date where you reversed your contra position



1 March 2019


**Private & Confidential**

<Name of customer>  
<Address 1>  
<Address 2>  
<Address 3>


## Your Monthly Statement

as at 28 February 2019

**OCBC Securities Private Limited** A Member of OCBC Group  
18 Church Street #01-00 OCBC Centre South  
Singapore 049479  
Co. Reg. No. : 196600262R  
GST Reg. No. : M200075927

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Trading Account  
**1234567**

## What is included in the monthly statement?

- Monthly Trust Account Statement
- Monthly Account Transaction Statement
- Monthly Custody Statement
- Monthly Sub Account Statement
- Daily Shares Deposit / Withdrawal
- Monthly Dividend Statement
- Corporate Action Advice – Additional Information

Your Trading Representative.  
Do contact him for trading matters.

When we notify you that  
your e-Statement is ready for  
viewing

Your monthly statement will  
be as at the last date of the  
month

## Overview

### Custody Balance

Name	Balance (1 Feb 2019)	Movements	Balance (28 Feb 2019)	Last Done Price (28 Feb 2019)	Market Value (28 Feb 2019)
<b>Hong Kong Market</b>					
China Aoyuan (3883)	270,000	4,000	274,000	10.08 HKD	2,761,920.00 HKD
NCI (1336)	125,000	-	125,000	39.85 HKD	4,981,250.00 HKD
Tencent Holdings Ord (#123)	0	1,000	1,000	375.00 HKD	375,000.00 HKD
Value Partners Ord (0806)	30,000	-	30,000	5.86 HKD	175,800.00 HKD
<b>Total</b>					<b>8,293,970.00</b> HKD
<b>SGD Equivalent</b> (Indicative FX Rate <sup>1</sup> : 0.1710)					<b>1,418,268.87</b> SGD

## Overview

Comprise of 3 different sections:

- Custody Balance
- Trust Account Balance
- Outstanding Settlements

If you have any shares movement within the month, it will be reflected here.  
E.g. Share deposits or withdrawal.

## Trust Account Balance

Currency	Balance (1 Feb 2019)	Movements	Balance (28 Feb 2019)	Indicative FX Rate <sup>1</sup>	SGD Equivalent
AUD	105,424.00 AUD	-	105,424.00 AUD	0.9584	101,038.36 SGD
HKD	500,000.00 HKD	(155,714.91) HKD	344,285.09 HKD	0.1710	58,872.75 SGD
GBP	74.98 GBP	7,423.02 GBP	7,498.00 GBP	1.7892	13,415.42 SGD
USD	12,878.27 USD	-	12,878.27 USD	1.3457	17,330.29 SGD
<b>Total</b>					<b>190,656.82</b> SGD

## Outstanding Settlements (28 Feb 2019)

Date	Reference Number	Action	Description	Amount
<b>SGD</b>				
22 Feb 2019	92028371111	Buy	Oxley Holdings Ord 10,000 @ SGD 0.31	1,000.00 SGD
24 Feb 2019	12837/102/1	Charge	Foreign Custody Fees (Jan 2018) for 5 counters	10.00 SGD
<b>Total</b>				<b>1,010.00</b> SGD

If you have any cash movements in the trust account within the month, it will be reflected here.  
E.g. deposit funds in GBP.

Any items which require settlement as at the last date of the month, will be reflected here.  
E.g. contracts that are due for payment, foreign custody fees charged, etc

## Activities

### Trades Placed

Name	Trade Date	Reference Number	Action	Description	Settlement Amount
<b>Singapore Market</b>					
DBS Holdings Ord (D05)	22 Feb 2019	9029837461	Sell	500 @ SGD 25	(12,325.19) SGD
Oxley Holdings Ord (5UX)	14 Feb 2019	87068877001	Buy	20,000 @ SGD 0.32	6,418.79 SGD
	22 Feb 2019	92028371111	Buy	10,000 @ SGD 0.31	3,019.29 SGD
Venture Ord (V03)	12 Feb 2019	87054437001	Buy	500 @ SGD 14.94 Contra Ref. No: 7063321/0/1	6,440.33 SGD
	12 Feb 2019	87062672001	Sell	500 @ SGD 14.90 Contra Ref. No: 7063321/0/1	(6,418.79) SGD

### Corporate Actions

Name	Received Date	Reference Number	Description	Quantity	Settlement Amount
<b>Hong Kong Market</b>					
UT - China Aoyuan Ord (#495)	12 Feb 2019	DDDEEE	Rights Issue: 270,000 @ ratio 1:85 Record Date: 6 Feb 2019	3,176	-
China Aoyuan Ord (3883)	22 Feb 2019	EEEEFF	Rights Exercise: 3,176 @ ratio 1:1 Excess rights: 824 fully allotted Record Date: 6 Feb 2019	4,000	-

## Activities

Comprise of 2 different sections:

- Trade Placed
- Corporate Actions

If your trade is a contra position, you can refer to the Contra Ref No.

You will be able to see the event of the corporate actions here.



## Movements

### Custody Movements

Name	Date	Reference Number	Description	Quantity
<b>Hong Kong Market</b>				
China Aoyuan Ord (3883)	22 Feb 2019	EEEEFF	Rights Exercise 3,176 @ ratio 1:1	4,000
Tencent Holdings Ord (#123)	22 Feb 2019	9283048571	Buy 1,000 @ HKD 362.55	1,000
UT - China Aoyuan Ord (#495)	12 Feb 2019	9283048571	Rights Issue 270,000 @ ratio 1:85	3,176
	12 Feb 2019	9283048571	Rights Exercise 3,176 @ ratio 1:1	(3,176)

### Trust Account Movements

Trade Date	Reference Number	Action	Description	Amount
<b>SGD</b>				
15 Feb 2019	82739/1	Deposit	Cash	1,000.00 SGD
15 Feb 2019	192837	Conversion	SGD to HKD FX Rate: 0.175	(1,000.00) SGD
<b>Total</b>				<b>0</b> SGD

## Movements

Comprise of 2 different sections:

- Custody Movements
- Trust Account Movements
- Cash Account Movements

Movement of the currency within the trading account.

**Cash Movements**

Date	Reference Number	Action	Description	Payment Mode	Amount
<b>SGD</b>					
14 Jan 2019	7063321/0/1	Contra	Gain Venture Ord 500	EPS (OCBC Bank)	299.35 SGD
28 Jan 2019	87129022001	Buy	Lippo Malls Indonesia Retail Trust 23,000 @ SGD 0.215	EPS (OCBC Bank)	(4,957.83) SGD

Movement of currency which is directly credited to your bank account.

E.g. EPS / GIRO