

HK Order Types

1. Limit Order (LO)

A Limit Order having a price equal to the best opposite orders will match with opposite orders at the best price queue residing in the system, one by one according to time priority. When you place a limit order, you are stating the price at which you wish to buy or sell a stock, if that price is not met; your order will not be executed and will be placed in queue.

For buy order, if the input price is below the ask price, the order will be on queue (allow to key within 24 price spreads).

For sell order, if the input price is above the bid price, the order will be on queue (allow to key within 24 price spreads).

2. Enhanced Limit Order (ELO)

ELO is similar to the limit order except that it will allow matching of up to **ten price queues** at a time. The bid order price of ELO can be input at 9 spreads lower than the current bid or the ask order price can be input at 9 spreads higher than current ask price. Any unfilled quantity of ELO after matching will be converted in the system as a normal Limit Order at the input order price.

Example: To buy 200k OCBC @ ELO 63.25

Match up to 10 levels 150K @ Average Price
Balance 50K will be on queue @ 63.25
Buy order @ 63.30, it will be rejected

	Ask Price	Ask Quantity	
1	62.80	20K	} 150k
2	62.85	20K	
3	62.90	20K	
4	62.95	10K	
5	63.00	10K	
6	63.05	10K	
7	63.10	10K	
8	63.15	10K	
9	63.20	20K	
10	63.25	20K	
11	63.30	10K	

3. Special Limit Order (SLO)

A SLO will match up to **ten price queues** (eg. The best price queue and up to the 9 spreads away) as long as the traded price is not worse than the input limit price. Any unfilled quantity of SLO after matching will be cancelled and not stored in the system.

Example: To buy 200k OCBC @ ELO 63.25

Match up to 10 levels 150K @ Average Price
Balance 50K will be cancelled
No limit to order price

	Ask Price	Ask Quantity	
1	62.80	20K	} 150k
2	62.85	20K	
3	62.90	20K	
4	62.95	10K	
5	63.00	10K	
6	63.05	10K	
7	63.10	10K	
8	63.15	10K	
9	63.20	20K	
10	63.25	20K	
11	63.30	10K	

4. At-Auction Limit Order (ALO)

An at-auction limit order is a limit order with a specific price. An at-auction limit order with a specified price at or more competitive than the final IEP may be matched at the final IEP. Any unfilled at-auction limit orders will be converted into limit orders and carried forward to the Continuous Trading Session provided that the specified price of that at-auction limit order does not deviate 9 times or more from the nominal price.

5. At-Auction Order (AO)

An at-auction order is a market order without a specific price and is entered into the trading system for execution at the final IEP. It enjoys a higher auction matching priority than an at-auction limit order. It will be cancelled automatically before the commencement of the Continuous Trading Session.

For more information on HK order types, please refer to
<http://www.hkse.com.hk/eng/market/sec_tradinfra/tradmech.htm>

Viewing Quotes and Placing Orders (Hong Kong Exchange)

To access the Hong Kong price quotes, click on 'Market Info' and select "HKEX".

The price quotes on iOCBC are 15 minutes delayed. To access the latest price quote, kindly click on the counter name to launch the order ticket.

In this menu, you can view information on the Top 20 Volume/Gainers/Losers/Warrants, Industry/Sector Indices, World Indices and Market Summary.

Searching for individual counters can be achieved by clicking on the alphabets (A to Z) or enter the counter name to search for the counter.

Click on **Market Info**

Click here and select **HKEX**

Enter the counter name here to search for your preferred counter

Speed Trade	Market Info	Trades	Portfolio	Account Mgmt	Share Financing	SBL	MA	IPO	Calculator						
Top 20 Top 20 Warrants Watchlist Alert Criteria Sectorial Indices World Indices Market Summary Tips News Report															
A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 0-9									<input type="text" value="HKEX"/>						
									<input type="text" value="Top 20 Volume"/> <input type="button" value="Go"/>						
Stock	Code	i	Rmk	Ccy	Last	\$Chng	%Chng	Vol	Market	Sell	Sell Vol	Open	Previous	Day Hi	
BP#HSI RC0907J	60056	i	--	HKD	0.171 ▲	-0.060	-26.0	931,400,000	-Market-	0.172	5,000,000	0	0.231	0.180	
SG#HSI RC0902Q	32341	i	--	HKD	0.134 ▼	-0.088	-39.6	635,760,000	SGX	0.136	5,330,000	0	0.222	0.170	
BP#HSI RP0908H	32192	i	--	HKD	0.214 ▲	+0.055	+34.6	599,510,000	Bursa Msia	0.214	4,850,000	0	0.159	0.217	
BP#HSI RC0908M	60055	i	--	HKD	0.171 ▲	-0.046	-21.2	552,520,000	HKEX	0.171	2,000,000	0	0.217	0.178	
DB#HSI RP0906X	32264	i	--	HKD	0.200 ▼	+0.088	+78.6	530,510,000	SET	0.200	5,000,000	0	0.112	0.206	
KC#HSI RP0905C	32278	i	--	HKD	0.179 ▲	+0.059	+49.2	462,420,000	IDX	0.180	3,000,000	0	0.120	0.183	
BP-HSI @EP0905D	15526	i	--	HKD	0.178 ▼	+0.024	+15.6	421,080,000	PHS	0.178	8,000,000	0	0.154	0.180	
DB#HSI RP0906Q	32208	i	--	HKD	0.229 ▲	+0.060	+35.5	393,560,000	8,000,000	0.229	0.230	5,020,000	0	0.169	0.236
SG#HSI RC0906E	60205	i	--	HKD	0.150 ▼	-0.085	-36.2	377,140,000	5,000,000	0.150	0.151	2,960,000	0	0.235	0.162
KC#HSI RC0905K	60257	i	--	HKD	0.192 ▼	0.000	0.0	361,720,000	2,930,000	0.191	0.192	4,000,000	0	0.000	0.199
AA#HSI RP0904V	32276	i	--	HKD	0.137 ▲	+0.054	+65.1	354,710,000	4,000,000	0.135	0.137	3,010,000	0	0.083	0.140
AA#HSI RC0906A	60253	i	--	HKD	0.141 ▼	0.000	0.0	356,000,000	4,680,000	0.135	0.137	3,010,000	0	0.083	0.140
BP-C MOB@EC0910	15008	i	--	HKD	0.137 ▲	-0.024	-14.9	342,550,000	5,000,000	0.136	0.137	7,000,000	0	0.161	0.144
AA-PETCH@EC0911	15250	i	--	HKD	0.127 ▼	-0.033	-20.6	349,520,000	8,000,000	0.127	0.128	7,900,000	0	0.160	0.130
SGPETCH@EC0906B	15439	i	--	HKD	0.128 ▲	-0.029	-18.5	336,720,000	19,500,000	0.128	0.129	20,000,000	0	0.157	0.131
CS-CMOB@EC1004B	15433	i	--	HKD	0.200 ▲	-0.031	-13.4	325,300,000	10,000,000	0.199	0.200	9,950,000	0	0.231	0.204
SG#HSI RP0904N	32213	i	--	HKD	0.218 ▲	+0.075	+52.5	313,560,000	2,940,000	0.218	0.219	2,980,000	0	0.143	0.224
BP-HSI @EC0904E	15474	i	--	HKD	0.139 ▼	-0.041	-22.8	303,770,000	8,100,000	0.138	0.139	8,000,000	0	0.180	0.168
DB-HSI @EC0904D	15290	i	--	HKD	0.158 ▼	-0.036	-18.6	303,570,000	10,000,000	0.158	0.159	10,000,000	0	0.194	0.164
ML-HSBC @EC0906	14390	i	--	HKD	0.080 ▼	-0.020	-20.0	293,444,000	1,700,000	0.080	0.081	8,200,000	0	0.100	0.085
NOTE: HKEX : 15 minutes delayed quote															

Click on the counter name to place an order, e.g. FIH. Details of the counter will be shown on the order ticket. The price quotes indicated on the order ticket is the latest.

- Click on to the Buy / Sell button to select the action and enter the quantity¹
- Payment mode is default as 'Cash'
- CPF trade is not allowed for trading in HKEX

Note:

¹ Enter "Quantity" in number of shares

(e.g. : 1,000 or 2000 or 3000 depending on the board lot size)

Click on the counter name to place an order e.g. FIH.

Enter desired order details (Quantity & Price) and enter your password

Please ensure you have selected the correct Order Type.

Click **Submit** to send your order to the exchange

The following confirmation will appear upon clicking “Submit”

(a) Order Confirmation for Auction Order (No order price shown)



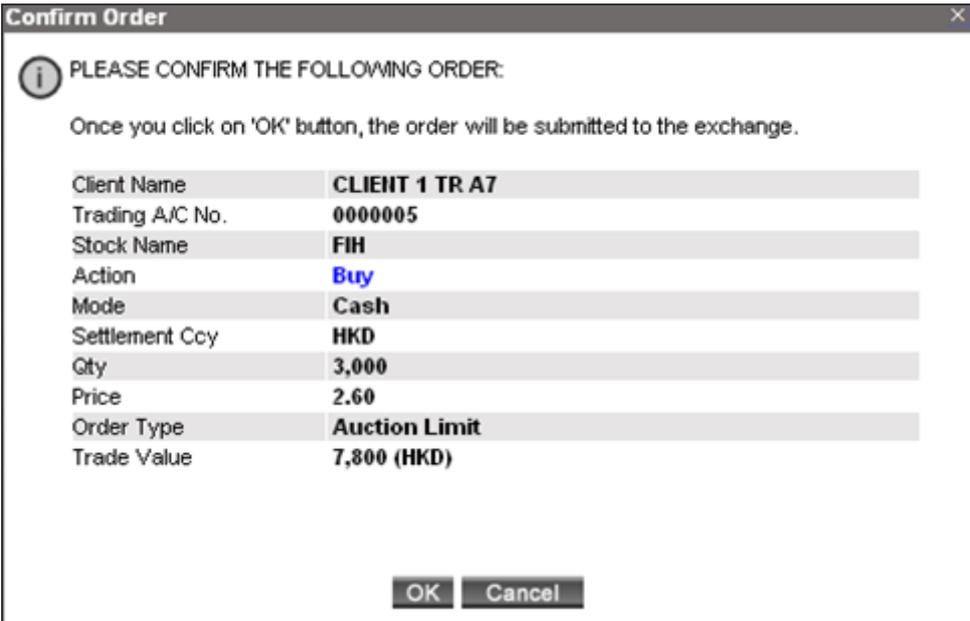
Confirm Order

i PLEASE CONFIRM THE FOLLOWING ORDER:
Once you click on 'OK' button, the order will be submitted to the exchange.

Client Name	CLIENT 1 TR A7
Trading A/C No.	0000005
Stock Name	FIH
Action	Buy
Mode	Cash
Settlement Ccy	HKD
Qty	3,000
Order Type	Auction

OK Cancel

(b) Order Confirmation for Auction Limit Order (ALO), Limit Order (LO), Enhanced Limit Order (ELO) and Special Limit Order (SLO)



Confirm Order

i PLEASE CONFIRM THE FOLLOWING ORDER:
Once you click on 'OK' button, the order will be submitted to the exchange.

Client Name	CLIENT 1 TR A7
Trading A/C No.	0000005
Stock Name	FIH
Action	Buy
Mode	Cash
Settlement Ccy	HKD
Qty	3,000
Price	2.60
Order Type	Auction Limit
Trade Value	7,800 (HKD)

OK Cancel

Upon order submission, click on 'Trades' and select 'Today's Orders' to check the status of your trades.

Kindly check the **Action** for your trades

Kindly check the **Order Type** to ensure you have selected the correct order type

Kindly check the **Status** of your trades.

Speed Trade		Market Info		Trades		Portfolio		Account Mgmt		Share Financing		SBL		MA		IPO		Calculator				
Today's Orders Past Orders Outstanding Position FX Rate																						
Quick Withdrawal		All Accounts																		HKEX	Pending	Refresh
Sel	Withdraw	Amend	A/C No.	Code	Stock Name	i	Ccy	Settle Ccy	Fx	Action	Order Type	Order Price	Order Qty	Done Qty	Balance	Done Price	Status	Mode	Forced	Amalg.	Order No	
<input type="checkbox"/>	Withdraw	Amend	0006065	2038	FIH	i	HKD	SGD	--	Buy	AMO	0.000	2,000	0	2,000	0.000	Q	Cash	N	Y	0000000000000098	
<input type="checkbox"/>	Withdraw	Amend	0006065	2038	FIH	i	HKD	HKD	--	Buy	SLO	2.990	15,000	0	15,000	0.000	PO	Cash	N	Y	--	
<input type="checkbox"/>	Withdraw	Amend	0006065	2038	FIH	i	HKD	SGD	--	Buy	AMO	0.000	6,000	0	6,000	0.000	Q	Cash	N	Y	0000000000000088	

Click on **Withdraw** to cancel your order.

Click on **Amend** to amend the order quantity.

You can amend / withdraw your order(s) provided that it is not filled. If the order is partially filled, you can only make amendment / withdrawal on those unfilled quantity.

Note:

Amendment is only for reduction of the quantity and you cannot amend the other parameters like price.

To check the latest status of your order, please click on the "Refresh" button.

- i. If your amendment request is successful, your order status will be updated to "AC" (ie: reduced order accepted).
- ii. If your withdrawal request is successful, your order status will be updated to "C" (ie: cancelled order accepted)