

1 Personal Particulars

Name ► *As per our records*

(Mobile) (Home)

Cash Trading Account No.

2 Choose the type of transfer ► Please select ONE of the following options

Transfer within OCBC Securities

- ☐ Transfer between my own accounts
(No change in Beneficial Owner)
- ☐ Transfer to my immediate family member
- ☐ Estate transfer to an executor/administrator
- ☐ Transfer between non-related parties
- ☐ Others: _____

Please complete section **A**

OR

Transfer in/out of OCBC Securities

- ☐ Transfer into OCBC Securities from another custodian
- ☐ Transfer out of OCBC Securities to another custodian
- ☐ Transfer from Business account to its shareholders
- ☐ Transfer in from Central Depository (CDP)
- ☐ Others: _____

Please complete section **B**

***Note: Please refer to the second page for items which require supporting documents and the relevant charges.**

A Account you are transferring to

Trading Account No.

B Custodian / Counterparty details

Trading Account Name

Change in Beneficial Owner: Yes ☐ No ☐

If yes, please provide reason: _____

3 Name of Securities

Quantity

Cost Value in Primary Traded CCY (Round to nearest \$) *Optional*

1.	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>
2.	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>
3.	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>
4.	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>
5.	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>

Please do provide the cost value, round to nearest dollar, of the shares so that this can be reflected on iOCBC Custodised Portfolio.

4 Select your payment option

I/We authorise OCBC Securities to debit the applicable fees & charges via:

► Please select ONE of the following options ☐ Trust ☐ Margin ☐ GIRO ☐ Cheque (No. _____)

5 Declaration and agreement

1. I/We agree to abide and be bound by the OSPL Standard Trading Terms and Conditions, which I/We have read and any amendments, alterations and additions as may be from time to time be made. A copy of OSPL Standard Terms and Conditions is available at OSPL service counter and www.iocbc.com. I/We consent to disclose as provided therein and agree that all payments be debited from my/our accounts with you.

2. Without Prejudice to the OSPL Standard Terms and Conditions or any terms and conditions or agreement(s) entered into and/or agreed between me/us and OSPL, I/we expressly authorize and permit OSPL to divulge, reveal or disclose any information relating to (a) me/us, (b) my/our account(s) opened by and/or maintained with OSPL (whether held alone or jointly), (c) any transaction or dealings between me/us and OSPL, (d) this application, (e) the status and/or any other information or particulars in OSPL's records which arise out of or in connection with this application, (f) any services granted to me/us by OSPL, and (g) any other information deemed necessary by OSPL, to any person at any time and from time to time, including but not limited to Oversea Chinese Corporation Limited ("OCBC"), and any of OCBC Bank's subsidiaries, branches, agents, correspondents, agencies, or service vendors, suppliers or providers.

3. I/We hereby declare, warrant and agree that all information submitted above or otherwise in connection with this transfer are true and accurate in all respects.

4. I/We hereby agree, confirm, undertake, represent, covenant and warrant that for any share/stock transfer, the average cost value reflected below will include cost value adjustments made by me/us, however if no cost value information was provided during such share/stock transfer, OSPL may, in its sole discretion and as it deem fit, adopt the cost value associated with the relevant share/stocks transfer as at the previous day closing price of such transfer.

Signature of Client

Company Stamp
(if applicable)

Verifying Officer
(OTC / SV)

Date

Fees & Supporting Documents Required

Transfer type	Supporting documents (Original / Certified True Copy)	Charges per counter (Subjected to prevailing GST)			
		Outward Transfer To Other DA	Inward Transfer from Other DA	Transfer within OSPL	Transfer to CDP (2281 to 1681)
NCBO	N.A	Local Shares: \$5 + \$10 OSPL Handling Charge Per Counter	Local Shares: \$5 Per Counter	Local Shares: \$10 Per Counter	Local Shares: \$10 + \$10 OSPL Handling Charge Per Counter
Joint Account Transfer	N.A	Foreign Shares: \$50 Per Counter	Foreign Shares: N.A.	Foreign Shares: N.A.	Foreign Shares: N.A.
Immediate Family Transfer	<ul style="list-style-type: none"> Both parties' identification card (IC) or passport Certificates to establish the relationship between yourself and your transferee such as marriage or birth certificate 	Local Shares: 0.015% of *Settlement Value (min \$75) + \$10 OSPL Handling Charges	Local Shares: 0.015% of *Settlement Value (min \$75) per Transferor/ Transferee	Local Shares: 0.03% of *Settlement Value (min \$150)	Local Shares: 0.03% of *Settlement Value (min \$150) + \$10 OSPL Handling Charges
Corporation and Director/Shareholder Transfer	<ul style="list-style-type: none"> Company's Resolution (Please state the reason for transfer, name, and quantity of securities to be transferred) ACRA instant printout of the company IC/Passport of director or shareholders 	Foreign Shares: \$50 Per Counter	Foreign Shares: \$10 Per Counter	Foreign Shares: \$10 Per Counter	Foreign Shares: N.A.
Corporation and Subsidiary Transfer	<ul style="list-style-type: none"> Company's Resolution (Please state the reason for transfer, name, and quantity of securities to be transferred) ACRA instant printout of both companies 				
Deceased to executor/administrator Transfer	<ul style="list-style-type: none"> Death Certificate Grant of probate or Letters of Administrators IC/Passport of all executors/administrators Asset Schedule 				
Non-Related parties Transfer	<ul style="list-style-type: none"> S&P Agreement witnessed by a legal entity (i.e Singapore Embassy or Notary Public officially registered with the country's governmental institution or Advocates & Solicitors preferably with a branch in Singapore or Justice of Peace of Commissioners of Oath) 				
SRN Transfer (Australia)	<ul style="list-style-type: none"> Off Market Transaction Form Statement of holdings held with registrara (not older than 1 year) 	Local Shares: N.A. Foreign Shares: N.A.	Local Shares: N.A. Foreign Shares: \$15 Per Counter	Local Shares: N.A. Foreign Shares: N.A.	Local Shares: N.A. Foreign Shares: N.A.

CS (OTC only)	Transfer fees paid ?	Custody Fees paid?	Checked By	Remarks
	<input type="checkbox"/> Yes <input type="checkbox"/> No Amount: \$ _____	<input type="checkbox"/> Yes <input type="checkbox"/> No	Date:	

Custody	New Counter?	Security Code?	Credit Clearance?	Linkage? (For MY and AUD counters only)
	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No