OCBC Securities

Account and Trading Information Release Employee-Associated Person Consent Form

My details	Address: 18 Church Street, #01-00, OCBC Centre South, Singapore 049479 Hotline: 1800 3388688 / (65) 63388688 if calling from oversea	
Associated Person Account Name (as per OSPL records)		
NRIC / Malaysian IC / Passport (for non-Singaporeans) no.	Contact no.	
L	()	
List of account number(s):		
Employee's details account details Employee Account Name (as per OSPL records)	NRIC / Malaysian IC / Passport (for non-Singapor	
Polationship to Employee > place tick and complete		
Relationship to Employee > please tick and complete □ Spouse □ Sibling □ Parent/Child	Others (please specify)	
Associated Account Holder's consent > please tick if ann		

<u>Associated Account Holder's consent</u> > please tick if applicable

I am associated to the accountholder identified in paragraph (2) above by virtue of my relationship set out in paragraph (2). I authorise OCBC Securities to (a) update my records with OCBC Securities to reflect my association with the accountholder in paragraph (2), who is an employee (the "Employee") of [Name of Employer]______(the "Employer"), and to reflect my account(s) as employee-associated account(s)*, (b) link my account(s) listed above as the Employer's concerned account(s), and (c) to disclose the "Account and Trading Information" to the Employer to enable the Employer to monitor the trading activity of my account(s).

"Account and Trading Information" refers to all information relating to securities transactions undertaken by me, including but not limited to trade transactions/confirmations (e.g. account number, trade date, security, quantity, price etc); trade holdings (e.g. account number, trade date, security, quantity, price etc); trade holdings (e.g. account number, trade date, security, quantity, price, booking date etc); IPO/ corporate actions if any, CPF/SRS trades, if any.

I shall promptly notify OCBC Securities in writing when I cease to be associated to the accountholder, and submit a request to OCBC Securities to de-link my associated account(s) immediately as the Employer's concerned account(s). In the event that I fail to notify or delay in notifying OCBC Securities of my cessation of association to the accountholder and/or to fail to request or delay in requesting OCBC Securities to de-link my associated account(s) as the Employer's concerned account(s), OCBC Securities shall not be responsible to me for any losses or any damages suffered or incurred arising from such failure or delay.

Associated Person Account Name /				
Signature(s) / Thumbprint (as in our records) / date				

Employee Account Name /	
Signature(s) / Thumbprint (as in our records) / date	

For Official Use	[Ref Code: MFICORP-FIJ]		
Account no(s)	OTC / SV / PV / date	Done by / date	Checked by / date
CS use	CS use	ASD use	ASD use

Note

*"Employee-Associated account" covers any account:

• that is established, maintained or controlled (either directly or indirectly) by

the Employee;

- the spouse, domestic partner, minor children (even if financially independent) of the Employee;

- anyone to whom the Employee provides significant financial support;

• for which the Employee, or anyone listed above, has or shares the power, directly or indirectly, to make investment decisions (whether the Employee or they have a financial interest in the account or not);

• that is established or maintained by the Employee or with the Employee's consent or knowledge and in which the Employee has a direct or indirect financial interest.