



**Opt Out of Accredited Investor Status**

Address: 18 Church Street, #01-00, OCBC Centre South, Singapore 049479  
Hotline: 1800 338 8688 / (65) 6338 8688 (if calling from overseas)

Name of Account Holder(s) ▶ As in our records

NRIC/Malaysian IC/Passport no./Business Reg no.

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Account Number(s)  
\_\_\_\_\_

1. I / We refer to the opt in / opt out process for “accredited investors”, as defined under the Securities and Futures Act, Chapter 289 of Singapore (the “SFA”).
2. I/We understand that I/We have been assessed by you to be an individual/entity mentioned in section 4A(1)(a)(i) of the SFA as set out in **Schedule 1<sup>1</sup>** and am hence considered an accredited investor.
3. I/We hereby request to opt out of the “accredited investor” status, and hereby notify you that I/we do not consent to being treated by you as an accredited investor for the purposes of all of the consent provisions as set out in **Schedule 2<sup>2</sup>**.
4. I/We understand that my/our request to opt out of the “accredited investor” status would apply to my/our account(s) opened with you, my/our transaction(s) booked with you, and the relevant services and products offered by or through you to me/us where I/we act as principal solely for my/our own account (including joint accounts).
5. I/We further understand that by opting-out of the “accredited investor” status, *you would need to treat me/us as a retail customer. This means that you would no longer be able to offer or provide certain services and products to me/us and/or execute certain transactions for me/us.* I/We understand that there may be restrictions on the dealings that you can effect for me/us for my/our existing investments and/or transactions booked with you.
6. I/We understand that you will notify me/us once my request has been processed by you and my/our investor status has been updated in your records, upon which you will not treat me/us as an accredited investor for the purposes of all of the consent provisions. I/We acknowledge and agree that until such time, I/We would still be treated as an accredited investor by you.

**CONSENT TO DISCLOSURE**

7. I/We consent to you disclosing the fact that I/We have withdrawn my consent to be treated as an accredited investor, as specified above, to any person that relies on my/our “accredited investor” status to be qualified as an accredited investor.
8. To the extent that I/we have a joint account opened / to be opened with you (the “**Joint Account(s)**”), I/we consent to you disclosing to all other joint accountholders of the Joint Account(s) that I/we have withdrawn my/our consent to be treated as an accredited investor, as specified above.
9. In the event of Joint Account(s), if you, as one of the accountholder, have opted out of your “accredited investor” status, but the other accountholder(s) of such Joint Account have/has not opted out of the “accredited investor” status, then all dealings carried out through that Joint Account by the accountholder(s), whether jointly or singly, would be deemed as dealings carried out by retail customer. Consequently, we would not be able to offer or provide certain services and products to and/or execute certain transactions for you through that Joint Account *which you would be eligible for if you were an accredited investor.* For avoidance of doubt, once you have opted out of your “accredited investor” status, we will no longer treat you as an “accredited investor” in respect to all the accounts held by you, whether solely or jointly.

Signature of 1<sup>st</sup> Account Holder/  
1<sup>st</sup> Authorised Signatory

Date: (dd) / (mm) / (yyyy)

Signature of 2<sup>nd</sup> Account Holder/  
2<sup>nd</sup> Authorised Signatory (if applicable)

Date: (dd) / (mm) / (yyyy)

Company Stamp (if applicable)

<sup>1</sup> Please refer to our website for more information on Schedule 1. A hardcopy of Schedule 1 is also available upon request.  
<sup>2</sup> Please refer to our website for more information on Schedule 2. A hardcopy of Schedule 2 is also available upon request.



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For official use only			
CS	Multiple Account(s)	OTC / SV / date	
ASD	<input checked="" type="checkbox"/> Update ACCIN = N	Done by/ date:	Checked by / date:

V3.0 Jan 2022